



# The Role of Sphingolipids in Alzheimer's Disease, Parkinson's Disease and Lewy Body Dementia: A Common Pathway?

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# Disclosures

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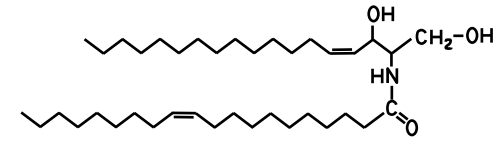
# Outline

- Importance of lipids in the CNS
- Sphingolipids in neurodegenerative diseases
- Link between sphingolipids, Alzheimer's disease and pathology
- Link between sphingolipids, Parkinson's disease and Lewy body dementia
- Ongoing research and future directions

# Importance of Lipids in the CNS

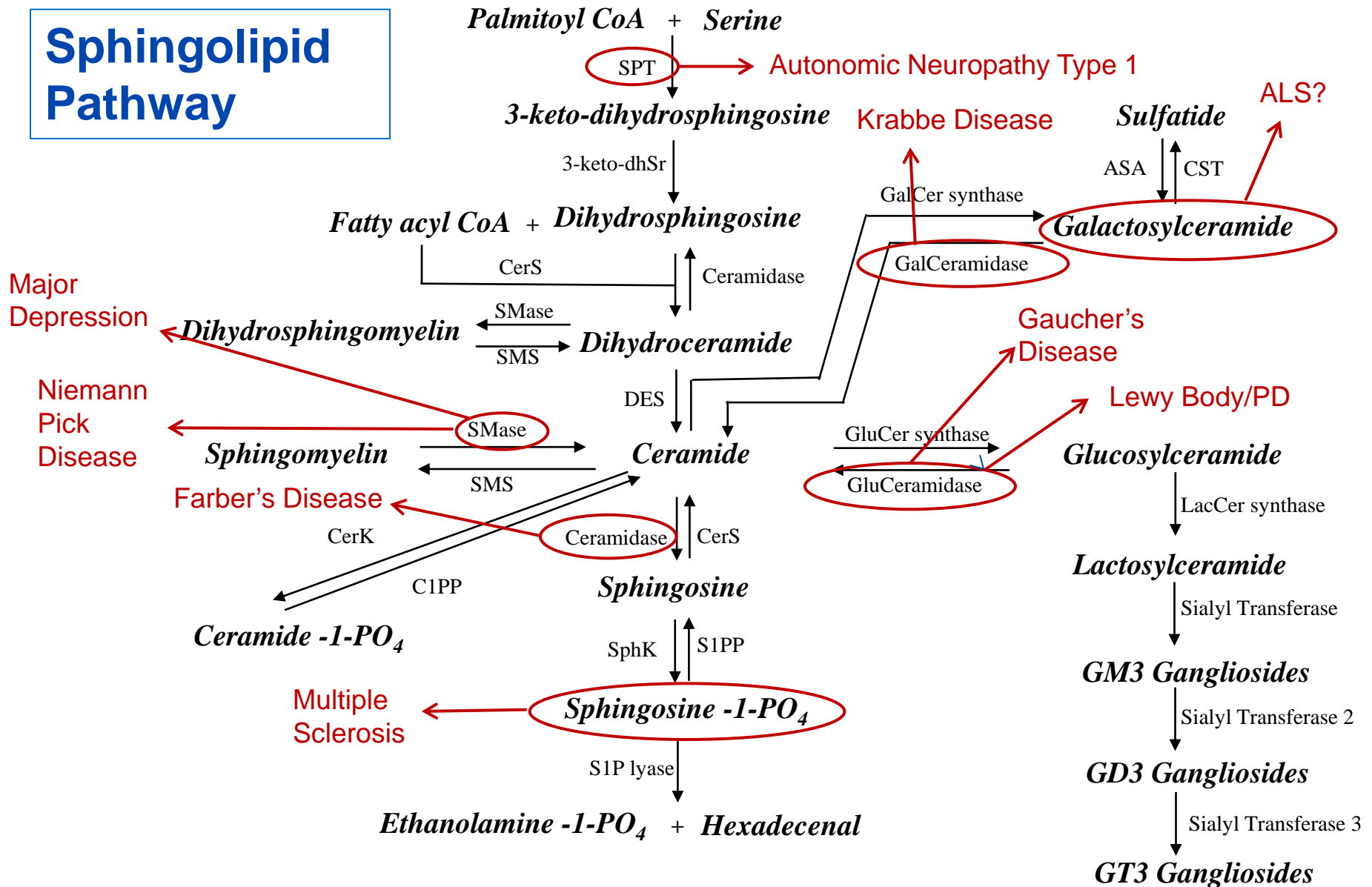
- Structure of neuronal cell membranes, directly affecting the solubility and fluidity (Needham & Nunn, 1990)
- Greatly affected by oxidative stress
  - Important in brain; low anti-oxidants
- Homeostasis in neuron and myelin is a key component in preventing loss of synaptic plasticity, cell death, and ultimately, substantial neurodegeneration
- Act as second messengers

# Ceramides



- Product of sphingomyelin metabolism or de novo synthesis
  - Precursor to more complex sphingolipids
- Structural role – lipid rafts
- 2<sup>nd</sup> messengers that regulate cellular differentiation, proliferation, and apoptosis
  - Low levels: promote cell division & play fundamental role in injury-induced cytokine production (TNF- $\alpha$ , IL-6)
  - High levels: activate signaling cascades, increase inflammation, promote free radical generation; sensitize neurons to oxidation
  - Autophagy

# Sphingolipid Pathway



# Ceramides-AD Neuropathology Link

- Exposure of cultured neurons to A $\beta$ 42 directly increases ceramide levels by activating neutral sphingomyelinase (nSMase) (Grimm, 2005; Jana & Pahan, 2004; Lee, 2004)
  - Inhibiting increase protects neuron from A $\beta$ 42-induced cell death (Cutler, 2004)
- A $\beta$ 42 can indirectly increase ceramides through an oxidative stress-mediated mechanism (Cutler, 2004; Matson, 2005; Gulbins & Kolesnick, 2003)
- Ceramides modulate BACE (but not *gamma*-secretase) activity (Kalvodova, 2005; Puglielli, 2003)
- Ceramides modulate PP2A activity, leading to tau phosphorylation (Mukhopadhyay et al., 2009)



# Few Human Studies Conducted

- Mixed results for ceramides & SM in brain tissues of AD patients and controls (Cutler et al., 2004; Pettegrew et al., 2001)
  - SM and ceramide levels varied by disease severity (Satoi et al., 2005; Han et al., 2002; Cutler et al., 2004)
  - Altered gene expression patterns of enzymes in sphingolipid metabolism pathway varied by AD severity (Katsel et al., 2007)
  - Possible marker of AD progression??
- CSF ceramide and sphingomyelin levels also vary by disease severity (Satoi et al., 2005; Kosicek et al., 2010; Kosicek et al., 2012)



# Blood-Based Predictor of Cognitive Progression?

# Overview of Blood-Based Findings

- Cognitively Normal
  - High baseline ceramides associated with increased risk of:
    - Cognitive impairment (Mielke MM, et al., 2010a)
    - Alzheimer's disease (Mielke MM, et al., 2012)
- Mild Cognitive Impairment (MCI)
  - High baseline ceramides associated with:(Mielke MM, et al., 2010b)
    - Memory decline
    - Hippocampal volume loss
    - White matter integrity, primarily the posterior cingulate
- Alzheimer's Disease
  - High baseline ceramides and SM/Cer ratios associated with:
    - Faster cognitive progression on MMSE and ADAS-Cog (Mielke MM, et al., 2011)

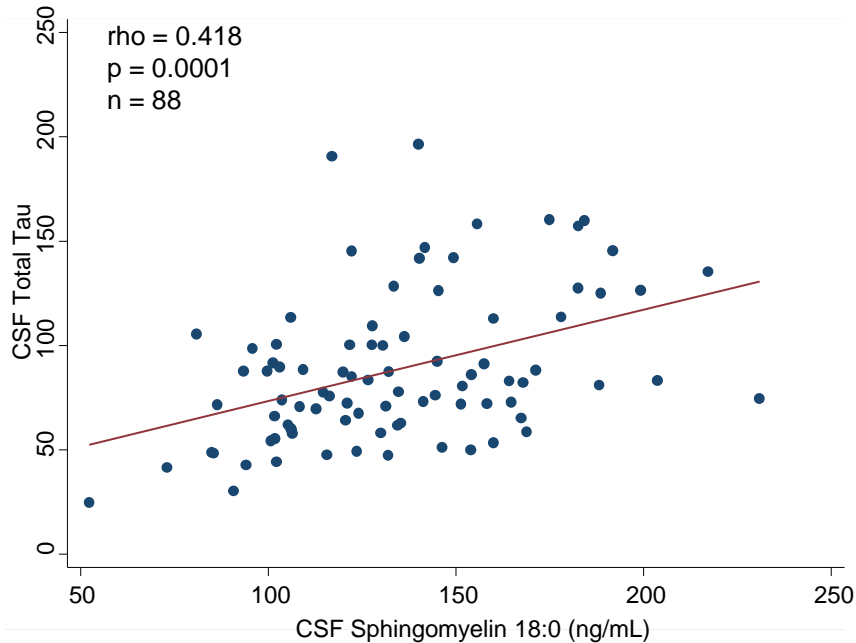
# How do these markers relate to AD pathology?

# Relationship Between Sphingolipids, Amyloid, and Tau in Humans

- Brain
  - *He et al., 2010* – aSMase positive correlation with amyloid and tau
  - *Katsel et al., 2007* – LASS1 & LASS2 upregulated at Braak Stage VI, LASS6 downregulated; correlation between other enzymes and Braak stage
- CSF
  - Previous studies did not look at relation to amyloid and tau (e.g., *Satoi et al., 2005*; *Kosicek et al., 2012*).

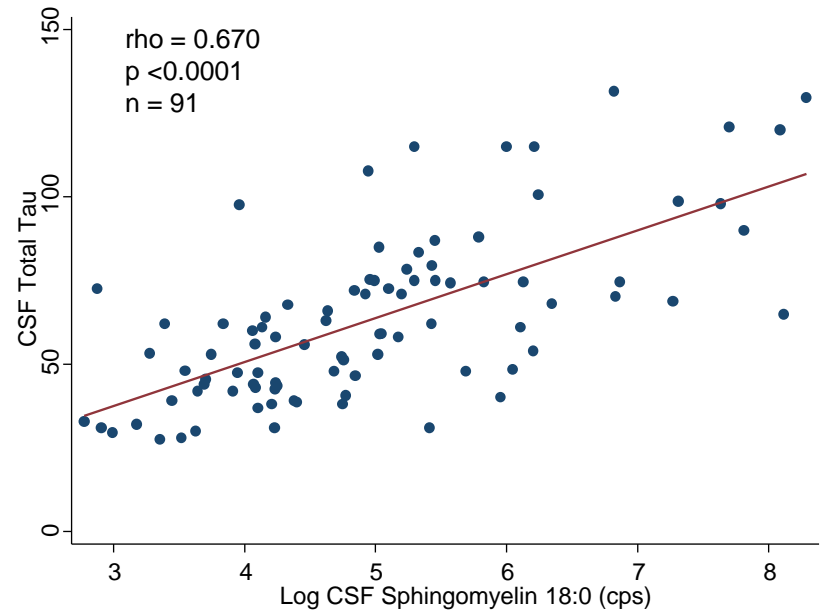
# CSF Sphingomyelins and Tau

MCSA 70+ cognitively normal



Mielke MM, et al. unpublished

Wisconsin 36-69 cognitively normal



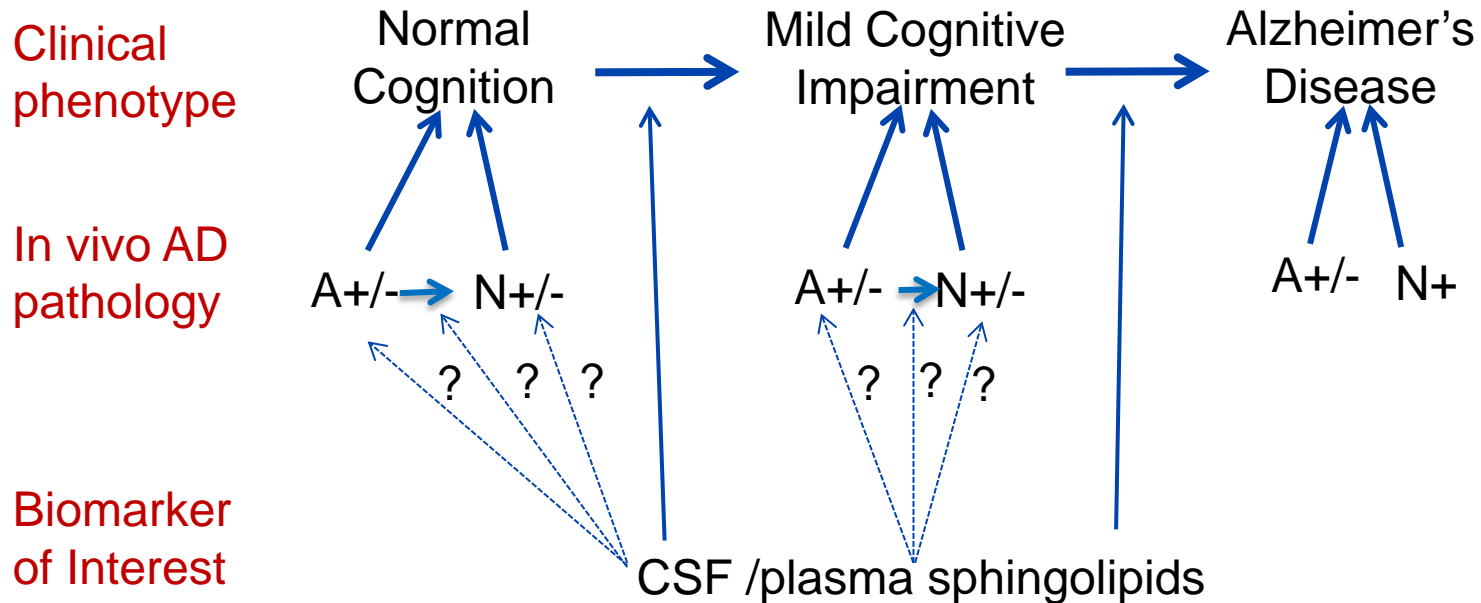
Mielke MM, et al. 2014

# Next step: Mechanistic studies in Humans

# Next Steps

- In vivo biomarker modeling opens up a whole new world
- Transgenic animal models primarily used for mechanistic studies, but not fully translatable
- Biomarker modeling allows for human mechanistic studies and identification of new biomarkers for given pathology and clinical phenotype
  - Clinic phenotype is heterogeneous
  - Allows for Individualized Medicine Approach

# Diagram for the study of CSF and plasma sphingolipids with both clinical phenotypes and *in vivo* brain pathology





# Interactions with PiB Amyloid

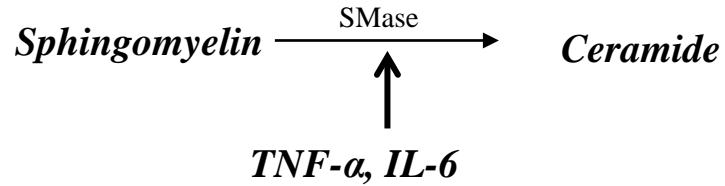
Baseline Log Plasma Ceramide	Change in Outcome	Baseline Ceramide *		Baseline A $\beta$ * Time		Baseline Ceramide* Baseline A $\beta$ * Time	
		<i>b (se)</i>	<i>p-value</i>	<i>b (se)</i>	<i>p-value</i>	<i>b (se)</i>	<i>p-value</i>
<i>Ceramide carbon chain length or total ceramides</i>							
C14:0	FDG-PET	0.027 (0.02)	0.092	0.044 (0.02)	0.012	<b>-0.06 (0.02)</b>	<b>0.004</b>
C16:0	Hippocampal volume	0.073 (0.10)	0.468	0.552 (0.25)	0.030	<b>-0.290 (0.13)</b>	<b>0.026</b>
	FDG-PET	0.035 (0.02)	0.179	0.158 (0.06)	0.014	<b>-0.084 (0.03)</b>	<b>0.011</b>
C18:0	Hippocampal volume	0.194 (0.08)	0.015	0.455 (0.18)	0.013	<b>-0.248 (0.10)</b>	<b>0.010</b>
C20:0	Hippocampal volume	0.080 (0.08)	0.320	0.490 (0.24)	0.038	<b>-0.223 (0.10)</b>	<b>0.032</b>
	FDG-PET	0.02 (0.02)	0.384	0.119 (0.06)	0.043	<b>-0.055 (0.03)</b>	<b>0.033</b>
C24:0	Hippocampal volume	0.100 (0.08)	0.215	0.833 (0.31)	0.008	<b>-0.286 (0.10)</b>	<b>0.006</b>
	FDG-PET	0.044 (0.02)	0.032	0.160 (0.08)	0.039	<b>-0.056 (0.03)</b>	<b>0.032</b>
C24:1	Hippocampal volume	0.838 (0.30)	0.005	0.258 (0.10)	0.008	<b>-0.355 (0.12)</b>	<b>0.004</b>
Total	Hippocampal volume	0.104 (0.09)	0.240	1.078 (0.42)	0.011	<b>-0.318 (0.12)</b>	<b>0.010</b>

\*Linear mixed models adjust for age, sex, educ, APOE E4

# Ceramides-AD Neuropathology Link



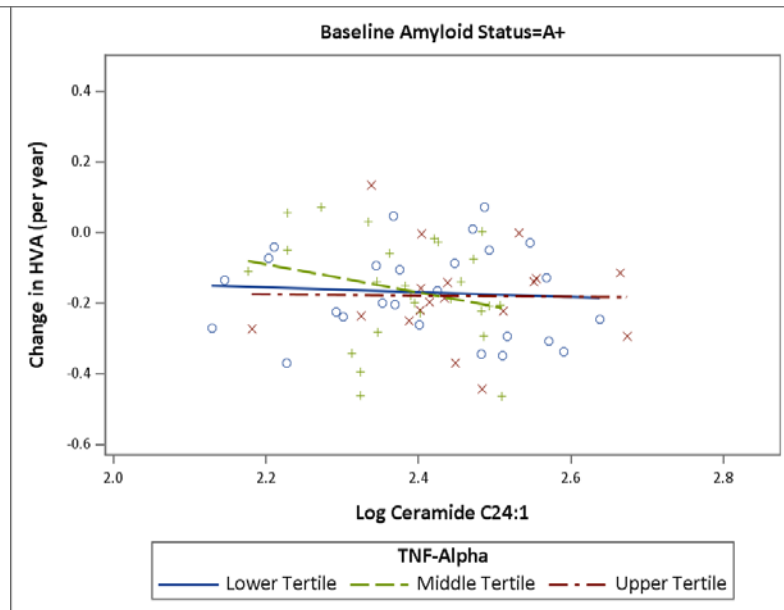
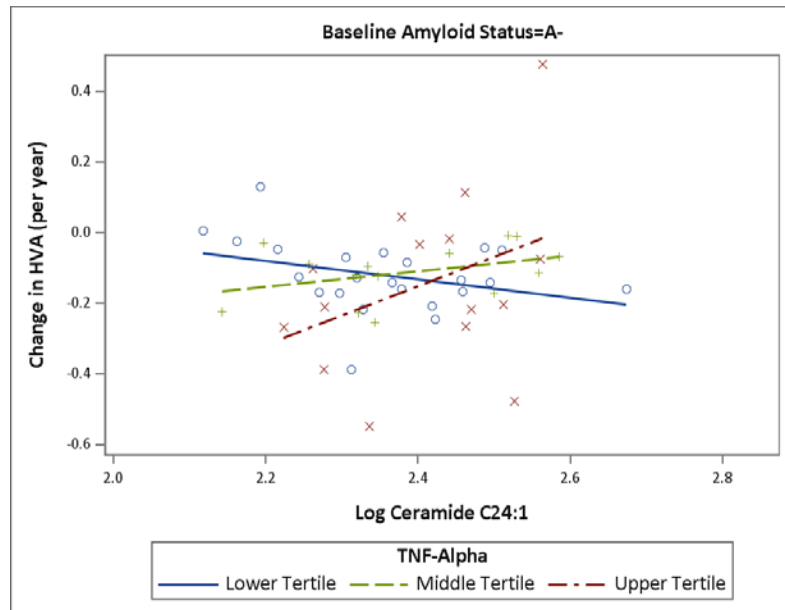
# Sphingolipids, Inflammation, and AD Pathology



**Log SM/Cer Ratio**

Log inflammatory marker	N	b (95% CI)	p-value
TNF-a	576	-0.06 (-0.09, -0.02)	0.002
IL-6	474	-0.04 (-0.06, -0.01)	0.005

\*Models adjust for age and sex



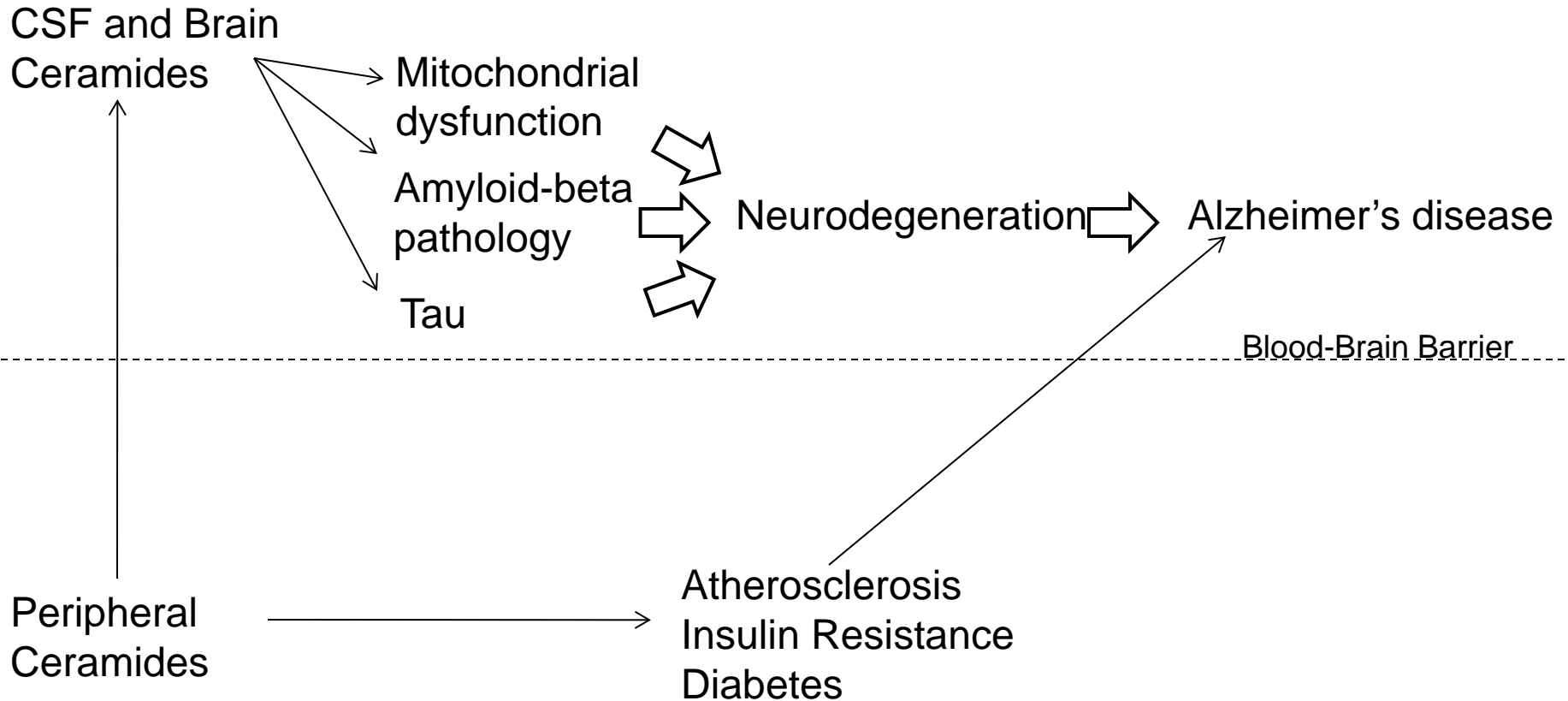
# AD – Funded R01

- ~2,400 plasma samples w/corresponding imaging (PiB, FDG-PET, MRI) and/or CSF
- ~1,000 CSF

Goals: Are sphingolipids predictive of and/or associated with neurodegeneration/cognition?

- interaction with amyloid
- assess inflammation as effect modifier

# Are Peripheral Ceramides Related to AD?

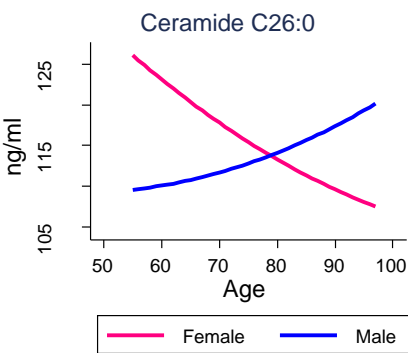
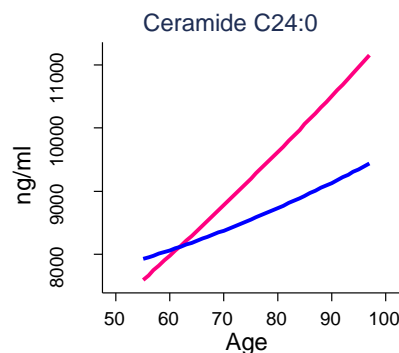
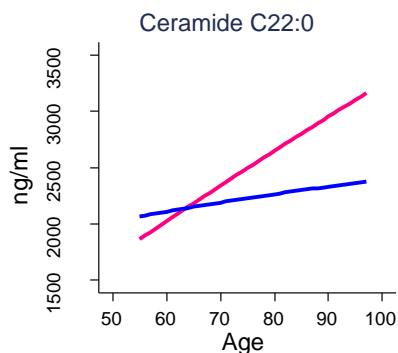
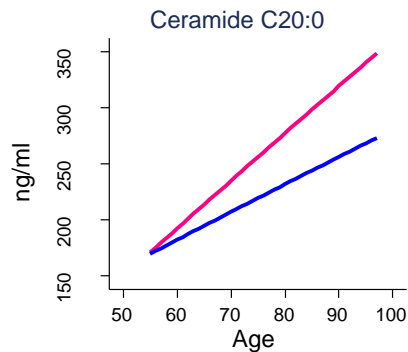
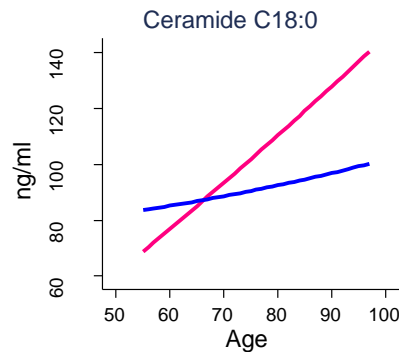
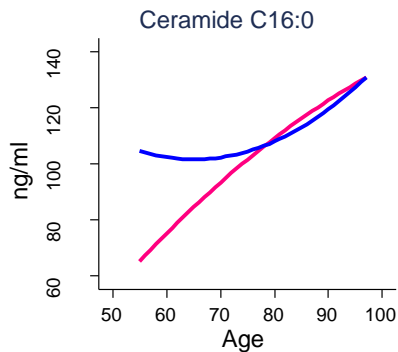


Mielke & Lyketsos, 2010

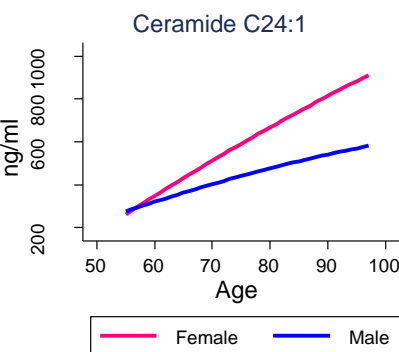
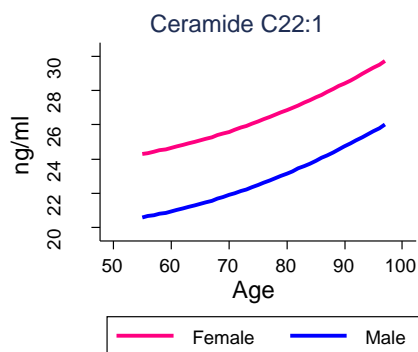
# Sphingolipid Epidemiology

# Baltimore Longitudinal Study of Aging (BLSA)

Participants (n = 992; 3,960 total samples) were 55 years and older and had plasma at two or more study visits over a mean of 14.3 years (range: 2.0-38.9 years).  
 366 women  
 626 men



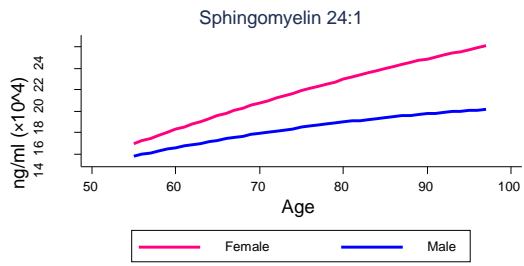
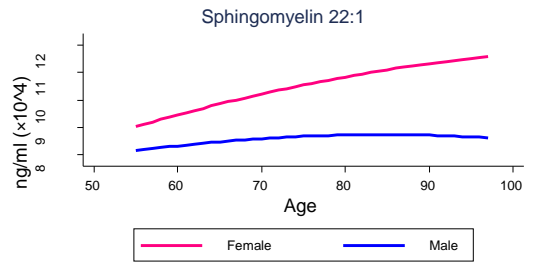
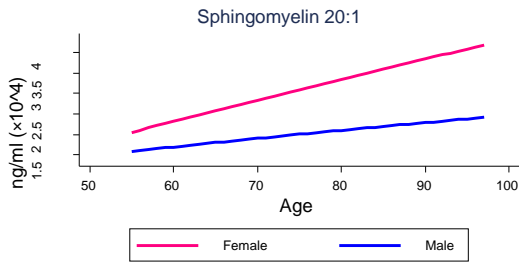
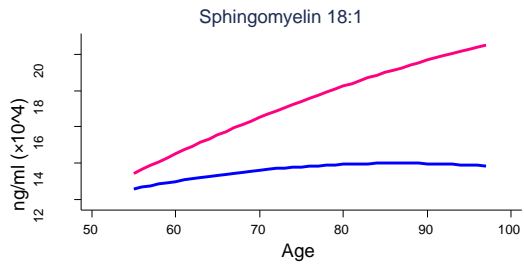
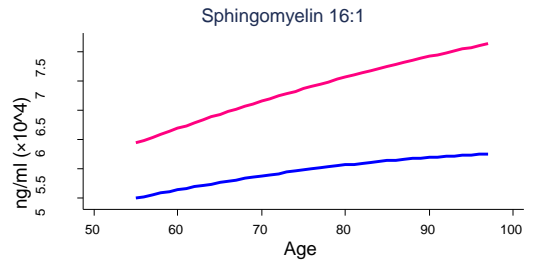
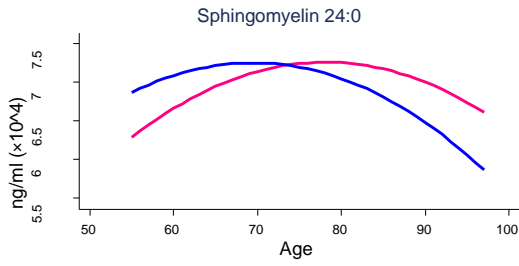
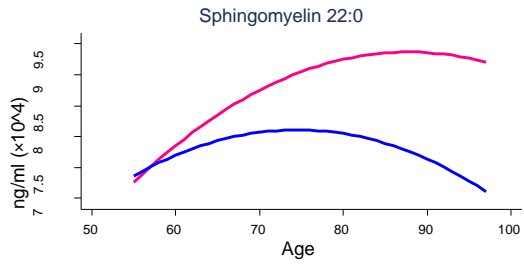
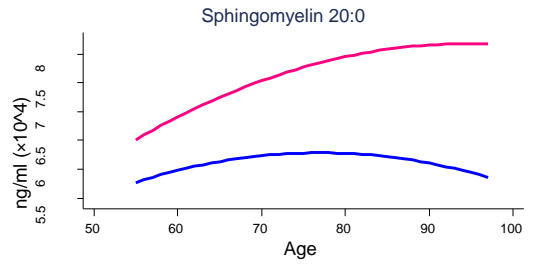
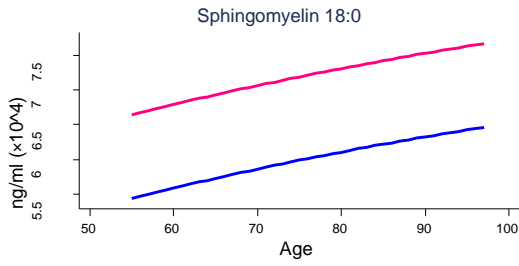
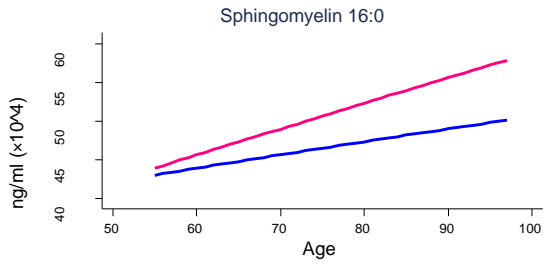
— Female — Male



— Female — Male

— Female — Male

Mielke MM, et al., 2015a



Mielke MM, et al.,  
2015b

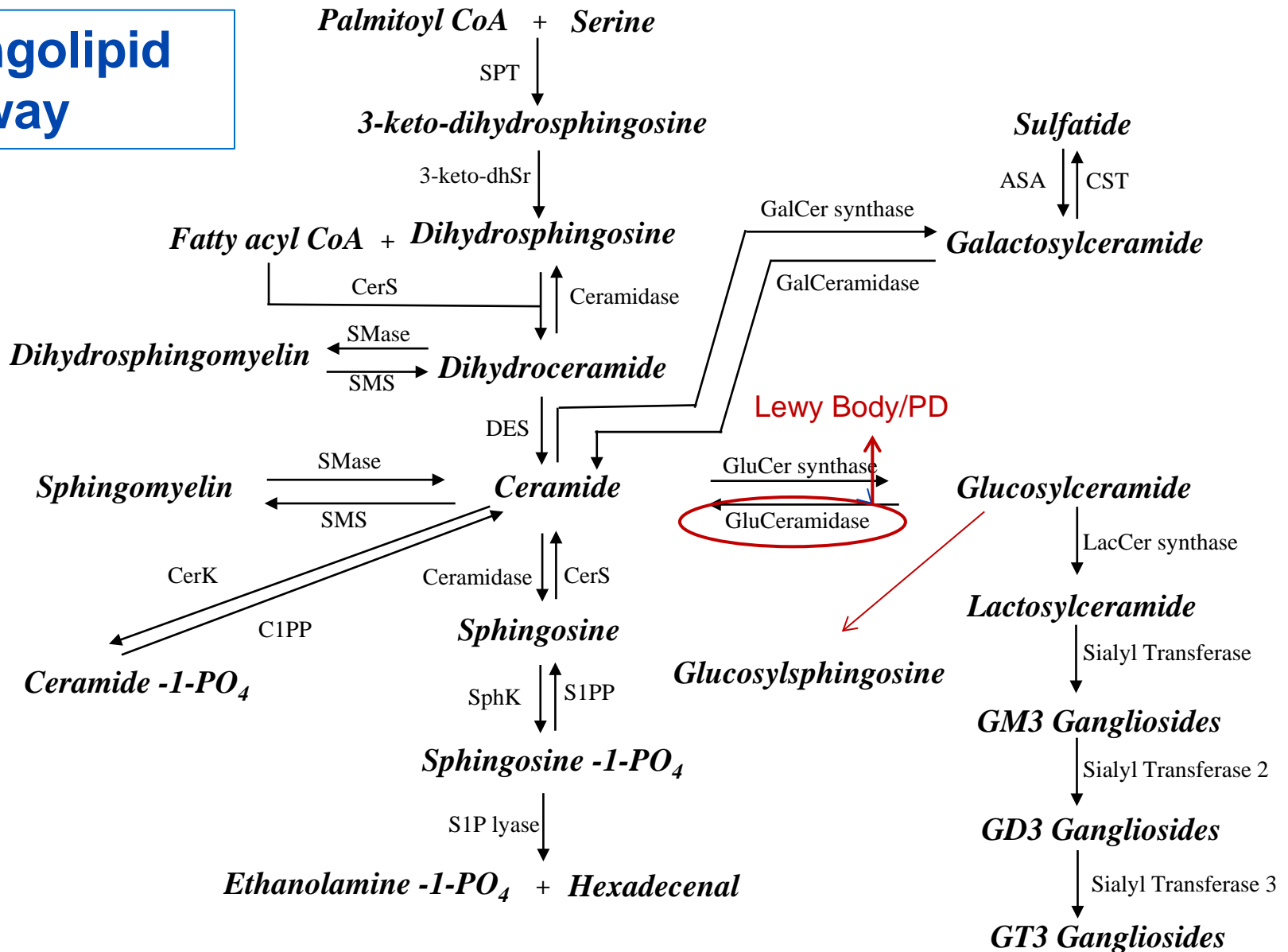


# Sex Differences

- Estrogen may upregulate S1P
  - Most focus is on breast cancer
- Hormone therapy reduces ceramides in pilot study
- Future step:
  - To determine association between hormones (estrogen, testosterone), sphingolipids, and risk of AD

# Parkinson's Disease (PD) and Lewy Body Dementia (LBD)

# Sphingolipid Pathway



# Sphingolipids in PD and LBD

- Alpha-synuclein is a lipid-binding protein; involved in the regulation of membrane lipid composition (Jo E, et al., 2000)

## Ceramides

- Dopaminergic neurons positively regulate neutral sphingomyelinase activity and can cause an increase in ceramide levels (Sofic et al., 2001)
- Post-mortem and in-vitro PD studies have demonstrated that the resultant increase in ceramide levels may mediate the apoptosis observed in the substantia nigra (Hunot et al., 1997)
- Reducing ceramide levels protect against MPTP neurotoxicity (Levenson et al., 2004)

# Glucosylceramides

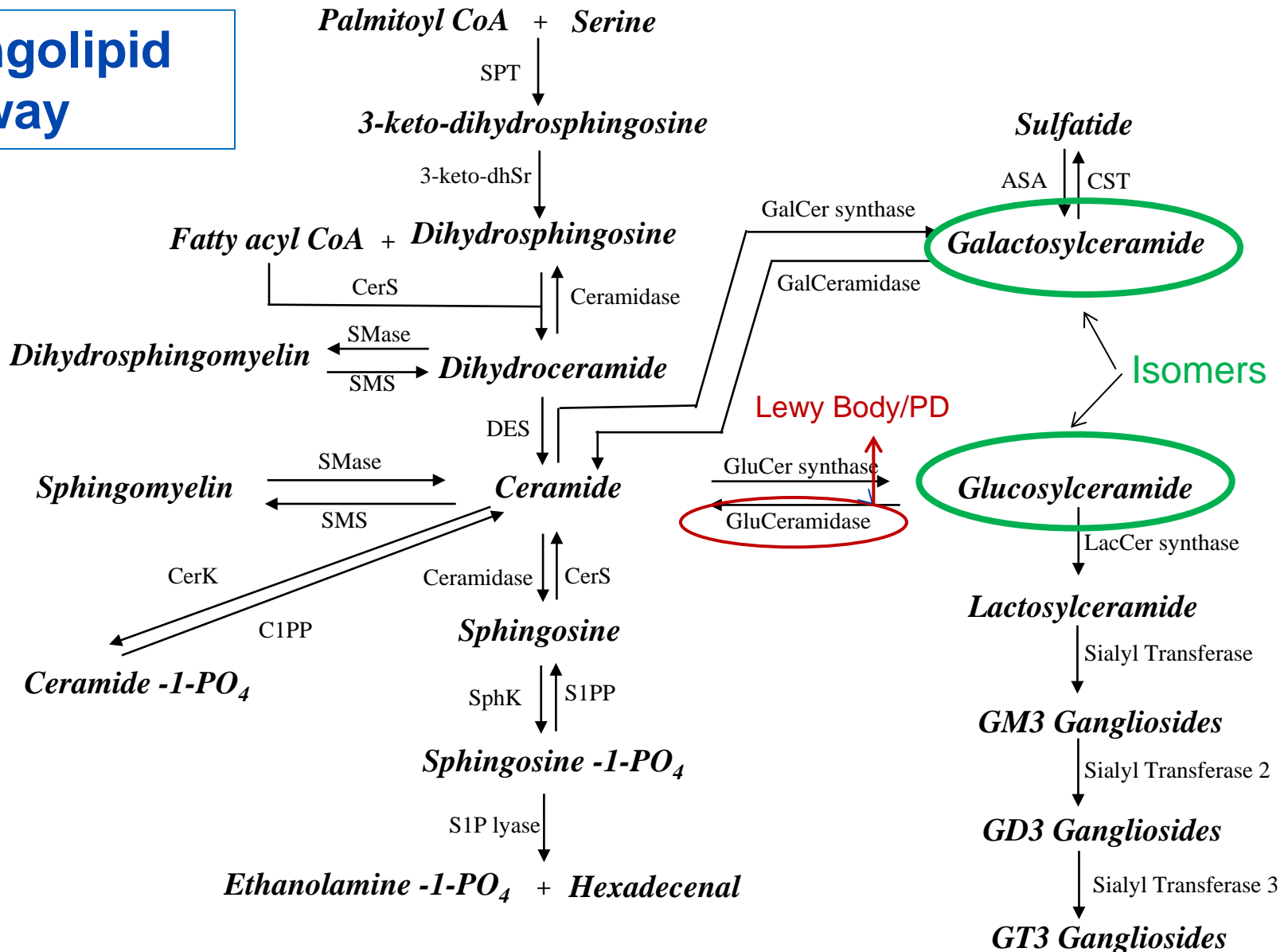
- Glucocerebrosidase:
  - Catalyzes breakdown of glucosylceramide to ceramide + glucose
  - Glucosylceramide levels increases alpha-synuclein
  - Alpha-synuclein inhibits glucocerebrosidase activation ([Mazulli et al., 2012](#))
- Mutations in the GBA gene coding for glucocerebrosidase:
  - ~7% of sporadic PD patients ([Sidransky E, et al., 2009](#))
  - Most prevalent genetic mutation
- GBA mutations:
  - Higher odds of LB pathology among PD patients ([Clark LN, et al., 2009](#))
  - Greater cognitive impairment ([Brockmann K, et al., 2011](#); [Alcalay RN, et al., 2012](#))

## PD and LBD, cont.

- Hypothesis:
  - Sphingolipid metabolism (ceramides and glucosylceramides) is also affected in non-GBA mutation carriers
  
- Clinical Study (Tübingen, Germany):
  - 26 PD cognitively normal; 14 PD-MCI; 12 PDD
  - 5 controls
  - All non-GBA mutation carriers (minor/major)

<i>Plasma</i>	<b>PD-NC (N=26)</b>	<b>PD-MCI/PDD (N=26)</b>	
<i>Log Lipid</i>	<i>Median (range)</i>	<i>Median (range)</i>	<i>p-value</i>
<b>Ceramide</b>			
<b>C16:0</b>	<b>11.48 (10.93, 12.26)</b>	<b>11.67 (11.27, 12.79)</b>	<b>0.035</b>
<b>C18:0</b>	<b>10.98 (10.24, 12.24)</b>	<b>11.18 (10.44, 12.54)</b>	<b>0.016</b>
<b>C20:0</b>	<b>12.21 (11.32, 13.58)</b>	<b>12.54 (11.80, 14.10)</b>	<b>0.037</b>
<b>C22:0</b>	<b>13.63 (12.83, 14.77)</b>	<b>13.94 (12.67, 16.14)</b>	<b>0.037</b>
C24:0	15.73 (14.37, 16.85)	16.01 (14.17, 18.00)	0.621
C26:0	11.94 (9.68, 12.84)	12.15 (9.70, 14.07)	0.510
C22:1	9.86 (8.83, 10.60)	9.95 (9.43, 11.92)	0.442
<b>C24:1</b>	<b>13.23 (12.34, 14.83)</b>	<b>13.44 (12.67, 15.32)</b>	<b>0.048</b>
C26:1	9.70 (8.46, 11.05)	9.90 (8.87, 11.77)	0.380
<b>Monohexosylceramides (Glucosyl- &amp; Galactosylceramides)*</b>			
<b>C16:0</b>	<b>11.92 (11.03, 12.79)</b>	<b>12.09 (11.35, 14.29)</b>	<b>0.046</b>
C18:0	9.11 (8.40, 10.15)	9.15 (8.33, 10.80)	0.242
<b>C20:0</b>	<b>10.48 (9.79, 11.31)</b>	<b>10.72 (10.07, 12.11)</b>	<b>0.039</b>
C22:0	13.75 (12.97, 14.61)	13.95 (13.18, 15.52)	0.148
<b>C24:0</b>	<b>14.17 (13.15, 15.49)</b>	<b>14.56 (13.26, 16.31)</b>	<b>0.040</b>
C26:0	10.20 (8.82, 11.16)	10.23 (8.17, 12.28)	0.840
C16:1	9.50 (8.67, 9.99)	9.58 (9.12, 11.72)	0.089
C22:1	9.94 (9.02, 10.59)	10.00 (9.00, 11.88)	0.370
C24:1	10.02 (8.48, 11.06)	10.09 (9.26, 12.48)	0.272

# Sphingolipid Pathway





# Ceramides, Glucosylceramides and PD

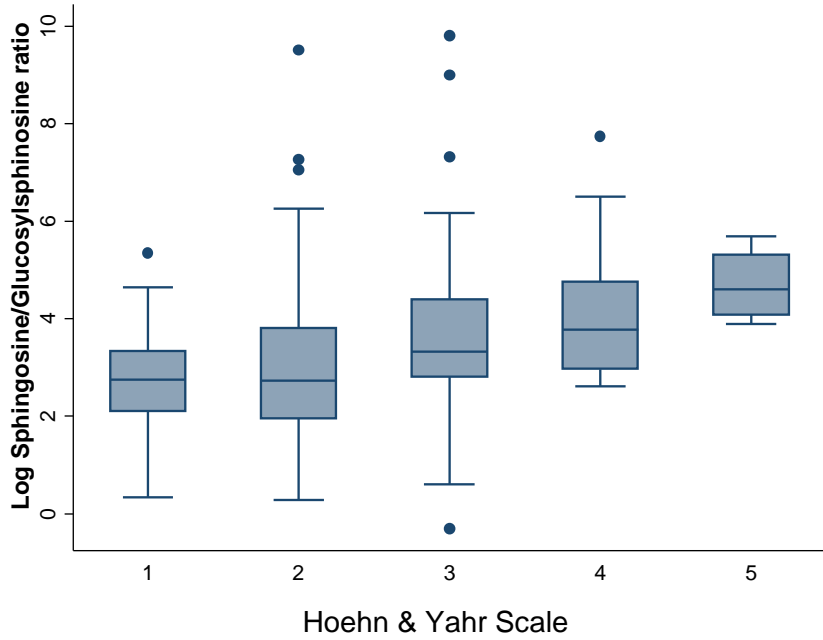
- Aims:
  - To replicate the previous cross-sectional study
  - To determine whether the lipids predict cognitive decline
  - To further explore GBA mutations and cognitive impairment in PD

# Baseline Characteristics (N=412)

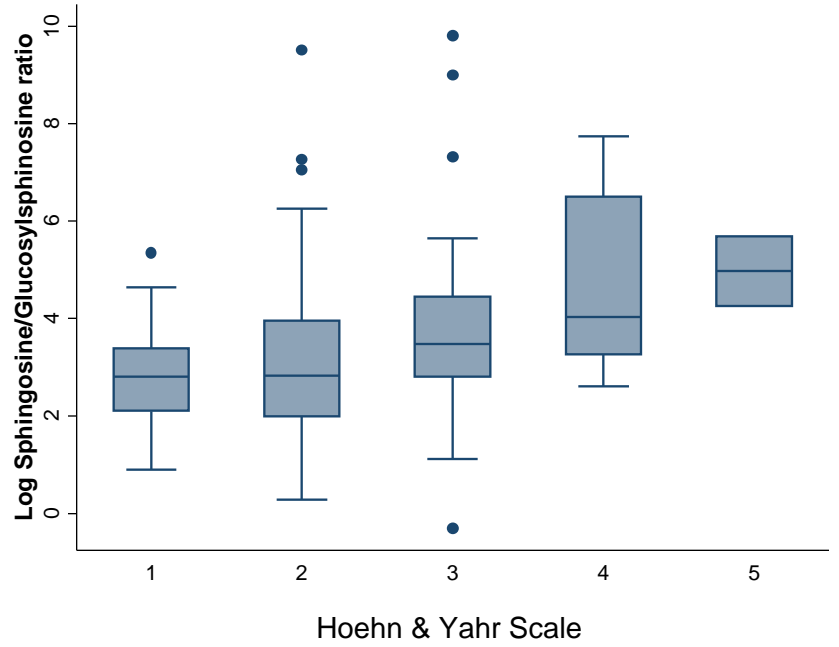
Characteristics	PD-NC (N=272)		PD-MCI (N=85)		PDD (N=55)		p-value
	N	mean (SD)/N(%)	N	mean (SD)/N(%)	N	mean (SD)/N(%)	
Baseline age	272	66.2 (8.2)	85	67.8 (7.0)	55	72.4 (4.9)	<0.001
Disease duration, years	262	5.9 (4.9)	84	7.6 (5.7)	55	9.3 (6.2)	<0.001
Male	272	188 (69.1%)	85	55 (64.7%)	55	38 (69.1%)	0.750
Education: University	268	188 (70.2%)	84	59 (70.2%)	55	41 (74.6%)	0.802
BMI	269	26.7 (4.2)	84	26.7 (4.1)	53	26.5 (4.9)	0.895
UPDRS I	270	1.7 (1.7)	85	2.4 (1.7)	55	5.2 (2.9)	<0.001
UPDRS III	264	20.8 (11.0)	84	24.9 (11.3)	53	30.6 (12.3)	<0.001
GDS	241	3.0 (2.6)	76	2.9 (2.7)	47	6.4 (3.4)	<0.001
AES	244	28.6 (7.9)	77	29.3 (2.7)	44	39.5 (10.6)	<0.001
PANDA	271	21.0 (5.2)	85	16.0 (5.1)	55	9.3 (4.7)	<0.001
PDQ-39	244	22.0 (13.6)	76	24.9 (12.5)	47	39.3 (14.8)	<0.001
MMSE	272	28.6 (1.5)	85	27.9 (1.9)	55	24.4 (3.1)	<0.001
Any GBA mutation	268	41 (15.3%)	84	19 (22.6%)	54	20 (37.0%)	0.001
Pathogenic GBA mutation	268	6 (2.2%)	84	2 (2.4%)	54	6 (11.1%)	0.011

BMI = body mass index; UPDRS = Unified Parkinson's Disease Rating Scale; GDS = Geriatric Depression Scale; AES = Apathy Evaluation Scale; PANDA = Parkinson Neuropsychometric Dementia Assessment; PDQ = Parkinson's Disease Questionnaire; MMSE = Mini-Mental Status Examination

All PD patients



Cognitively Normal PD patients



# A higher ceramide to glc-Cer ratio is associated with greater cognitive decline among cognitively normal patients with Parkinson's disease

Without GBA mutations/variants (N=194)					With GBA mutations/variants (N=32)				
Ratio	cross-sectional		Association between one log unit increase in the baseline ratio and one-year change in MMSE		Ratio	cross-sectional		Association between one log unit increase in the baseline ratio and one-year change in MMSE	
	<i>b</i>	<i>p-value</i>	<i>b</i>	<i>p-value</i>		<i>b</i>	<i>p-value</i>	<i>b</i>	<i>p-value</i>
C16:0	0.94	0.151	<b>-0.88</b>	<b>0.043</b>	C16:0	-2.82	0.159	1.80	0.150
C18:0	0.86	0.099	<b>-0.78</b>	<b>0.020</b>	C18:0	1.00	0.498	0.14	0.882
C18:1	0.04	0.906	-0.06	0.772	C18:1	-0.61	0.366	0.05	0.897
C20:0	0.48	0.336	-0.51	0.118	C20:0	-1.62	0.226	0.90	0.281
C22:0	0.87	0.102	<b>-0.84</b>	<b>0.006</b>	C22:0	-1.92	0.176	1.17	0.211
C24:0	0.58	0.296	-0.64	0.079	C24:0	-2.07	0.113	1.17	0.171
C24:1	0.35	0.493	-0.49	0.144	C24:1	-0.21	0.874	0.08	0.928
Sph	0.21	0.334	-0.16	0.237	Sph	0.18	0.676	0.01	0.966

\*Association between each log-unit increase in baseline ceramide to glc-Cer and sphingosine to glc-Sph ratios and cross-sectional and one-year change in MMSE score

# ADRC pilot project

- **Aim 1:** Comparison of 40 DLB, 12 MCI+RBD, 17 iRBD and 70 age- and sex-matched cognitively normal individuals
- **Aim 2:** Cross-sectional association between the lipids and neuroimaging
  - Dorsal mesopontine gray matter atrophy; occipital hypometabolism
  - Stratify by Amyloid status
- **Aim 3:** Determine whether the lipids predict disease progression

# Ceramides elevated in DLB and iRBD versus matched controls

DLB vs. control (N=40/group)		
<i>lipid</i>	<i>mean</i>	<i>p-value</i>
s1p	-0.018	0.557
cer C14:0	-0.0001	0.916
<b>cer C16:0</b>	<b>0.056</b>	<b>0.029</b>
cer C18:1	0.001	0.476
cer C18:0	0.016	0.265
cer C20:0	0.014	0.313
cer C22:0	0.040	0.142
<b>cer C24:1</b>	<b>0.135</b>	<b>0.046</b>
cer C24:0	0.047	0.590

iRBD vs. control (n=17/group)		
<i>lipid</i>	<i>mean</i>	<i>p-value</i>
s1p	-0.022	0.672
cer C14:0	-0.002	0.178
cer C16:0	0.039	0.183
<b>cer C18:1</b>	<b>0.002</b>	<b>0.081</b>
<b>cer C18:0</b>	<b>0.025</b>	<b>0.070</b>
cer C20:0	0.005	0.727
cer C22:0	0.033	0.440
cer C24:1	0.114	0.221
cer C24:0	0.039	0.799

# Higher plasma ceramides associated with lower grey matter mesopontine volume among iRBD

<i>lipid</i>	iRBD (N=16)		iRBD A- (N=12)	
	<i>b</i>	<i>p-value</i>	<i>b</i>	<i>p-value</i>
sph	-54.26	0.492	60.65	0.560
spa	-223.91	0.354	-157.65	0.580
cer C14:0	<b>-711.52</b>	<b>0.018</b>	<b>-697.79</b>	<b>0.012</b>
cer C16:0	<b>-16.26</b>	<b>0.069</b>	<b>-18.99</b>	<b>0.038</b>
cer C18:1	<b>-465.63</b>	<b>0.047</b>	<b>-577.93</b>	<b>0.006</b>
cer C18:0	<b>-44.88</b>	<b>0.003</b>	<b>-46.29</b>	<b>0.005</b>
cer C20:0	<b>-33.30</b>	<b>0.049</b>	<b>-34.78</b>	<b>0.059</b>
cer C22:0	-9.43	0.321	-1.17	0.911
cer C24:1	<b>-9.45</b>	<b>0.002</b>	<b>-8.97</b>	<b>0.022</b>
cer C24:0	-0.37	0.900	2.22	0.451

# PD Ongoing Research

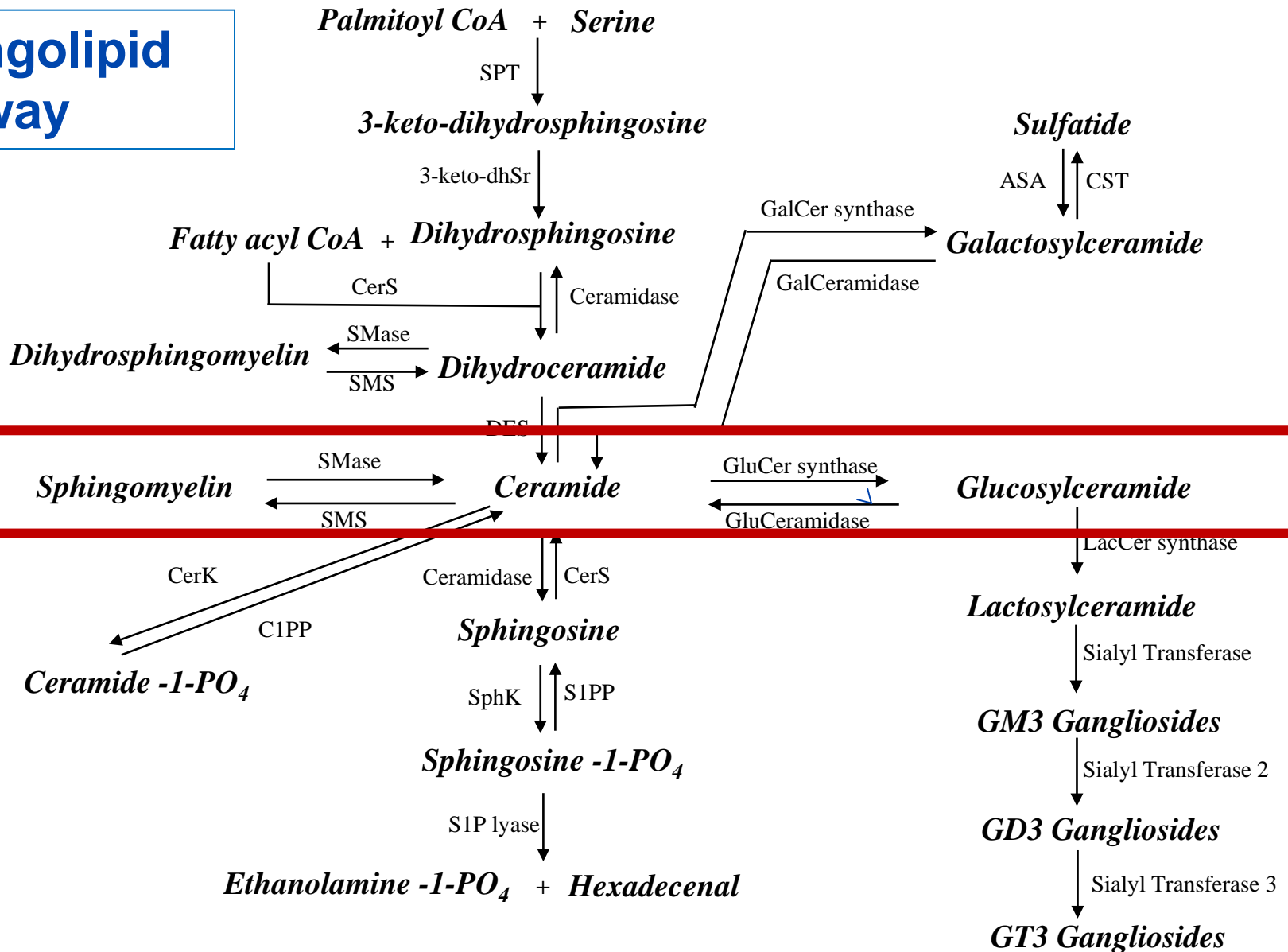
- Predict PD progression
  - 500 PD patients (normal cognition, MCI, PDD).
  - Ceramides and glycosylceramides at baseline
  - Lipid levels associated with & predictive of cognitive decline (and other outcomes)
- Comparison of PD GBA mutation carriers, PD sporadic non-carriers, normal controls
  - CSF and plasma ceramides and glucosylceramides
- Comparison of DLB, MCI+RBD, iRBD and age- and sex-matched cognitively normal individuals
  - CSF and plasma ceramides and glucosylceramides



# Conclusion

- Consistent evidence for role of ceramide metabolism in AD, LBD, and other neurodegenerative diseases
  - Animals and humans
  - CSF and plasma
- Common pathway; Different forks in the road?
- Identification in blood – blood-based biomarkers?
- Collaborative, translational effort needed
  - Better understanding of ceramides and AD/LBD pathology in animals
  - Roles of exosomes, ceramide transporters (CERT)
  - Better understanding and characterization of sphingolipid levels in the population and relation to disease pathology (direct vs. indirect)

# Sphingolipid Pathway



# Collaborators & Funding

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# Call for Papers:

Special Issue – Sphingolipids in  
Neurodegeneration Diseases

Journal of Alzheimer's Disease

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**Thank You!**

**Questions & Discussion**